**CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY – TRANSMISSION LINE OF 138 kilovolts or more and of more than 5280 feet in length.**

Filing Requirements Checklist

(Applicable Authority: 807 KAR 5:120)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Case No. |  | Applicant Name |  | Received Date |  | Form Circulation Date |  |

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| Instructions: |  |  |
|  | 1) | Each division noted by checkmark ( ) is to complete its review and return within three business days of receipt. |
|  | 2) | This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued. |
|  | 3) | Staff member should use initials and list date review is completed. |
|  | 4) | **Return by email to Filings Branch following review and copy all Team members.** |
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| Reviewed by following Divisions: | Date | Staff Member |
|  |  | Filings  |  |  |  |
|  |  | Financial Analysis |  |  |  |
|  |  | Legal |  |  |  |

| Division Responsible | Law/Regulation | Filing Requirement | RequirementMet | WaiverRequested | ApproveWaiver? |
| --- | --- | --- | --- | --- | --- |
|  |  |  | Yes | No |  | Yes | No |
|  | 807 KAR 5:001 |  |  |  |  |  |  |
| Filings | Section 14(1) | Full name, mailing address, and e-mail address of applicant and a reference to KRS 278.020(1). |  |  |  |  |  |
| Legal | Section 14(2) | If a corporation, the applicant shall identify in the application the state in which it is incorporated and the date of its incorporation, attest that it is currently in good standing in the state in which it is incorporated, and, if it is not a Kentucky corporation, state whether it is authorized to transact business in Kentucky. |  |  |  |  |  |
| Legal | Section 14(3) | If a limited liability company, the applicant shall identify in the application the state in which it is organized and the date on which it was organized, attest that it is in good standing in the state in which it is organized, and, if it is not a Kentucky limited liability company, state whether it is authorized to transact business in Kentucky. |  |  |  |  |  |
| Legal | Section 14(4) | If the applicant is a limited partnership, a certified copy of its limited partnership agreement and all amendments, if any, shall be annexed to the application, or a written statement attesting that its partnership agreement and all amendments have been filed with the commission in a prior proceeding and referencing the case number of the prior proceeding. |  |  |  |  |  |
| Legal | Section 15(2) | (a) The facts relied upon to show that the proposed construction or extension is or will be required by public convenience or necessity. |  |  |  |  |  |
| Legal |  | (b) Copies of franchises or permits, if any, from the proper public authority for the proposed construction or extension, if not previously filed with the commission.  |  |  |  |  |  |
| Legal |  | (c) A full description of the proposed location, route, or routes of the proposed construction or extension, including a description of the manner in which same will be constructed, and the names of all public utilities, corporations, or persons with whom the proposed construction or extension is likely to compete. |  |  |  |  |  |
| Financial Analysis |  | (e) The manner, in detail, in which the applicant proposes to finance the proposed construction or extension. |  |  |  |  |  |
| Financial Analysis |  | (f) An estimated annual cost of operation after the proposed facilities are placed into service. |  |  |  |  |  |
|  | 807 KAR 5:120 |  |  |  |  |  |  |
| Filings | Section 1(2)(a) | A notice of intent to file application shall include: The name, address,telephone number, and electronic mail address of the utility that intends to file the application |  |  |  |  |  |
| Legal | Section 1(2)(b) | A notice of intent to file application shall include: A description of the proposed construction that will be the subject of the application |  |  |  |  |  |
| Legal | Section 1(2)(c) | A notice of intent to file application shall include: The name of the county or counties in which the construction will be proposed. |  |  |  |  |  |
| Legal | Section 2 | Three (3) maps of suitable scale, but no less than one (1) inch equals 1,000 feet for the project proposed. The map detail shall show the location of the proposed transmission line centerline and right of way, and boundaries of each property crossed by the transmission line right of way as indicated on the property valuation administrator's maps, modified as required. Sketches of proposed typical transmission line support structures shall also be provided. A separate map of the same scale shall show any alternative routes that were considered; |  |  |  |  |  |
| Legal | Section 3 | A verified statement that, according to county property valuation administrator records, each property owner over whose property the transmission line right-of-way is proposed to cross has been sent by first-class mail, addressed to the property owner at the owner's address as indicated by the county property valuation administrator records, or hand delivered |  |  |  |  |  |
| Legal | Section 2(3) | Is copy of the newspaper notice included? |  |  |  |  |  |
|  | Section 2(5) | If copy of public notice is included, did it meet requirements?\* |  |  |  |  |  |
|  | Section 2(7) | A statement as to whether the project involves sufficient capital outlay to materially affect the existing financial condition of the utility involved. |  |  |  |  |  |

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| \*Section 2 Notice | A utility shall provide notice by: Publishing a notice of the intent to construct the proposed transmission line has been published in a newspaper of general circulation in the county or counties in which the construction is proposed, which notice must include:1. A map showing the proposed route
2. A statement of the right to request a local public hearing
3. A statement that interested persons have the right to request to intervene
4. The commission docket number under which the application will be processed and a map showing the proposed route of the line
5. The address and telephone number of the executive director of the commission
6. A description of his or her rights to request a local public hearing and to request to intervene in the case
7. A description of the project
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All filings with the Kentucky Public Service Commission

which contain personal information must be redacted by

the filer pursuant to 807 KAR 5:001 Sec. 4 (10).

Filings which are not in compliance will be rejected.